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# Pediatric Dosing of Rituximab Revisited: Serum Concentrations in Opsoclonus-myoclonus Syndrome

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**Summary:** To longitudinally assess serum concentrations of rituximab, it was administered intravenously to 25 children with opsoclonus-myoclonus syndrome at 375 mg/m<sup>2</sup> on each of 4 consecutive weeks with (Group I and II) or without (Group III) conventional immunotherapy. Serum rituximab levels, drawn before and after each infusion and at later intervals, were analyzed by enzyme-linked immunosorbent assay. Rituximab concentration increased stepwise with each infusion, dropping by the next infusion, thereby forming 4 discrete peaks ( $C_{max}$ ) and troughs ( $C_{min}$ ). It then fell precipitously to trace levels at 4 months. However,  $C_{max}$  and  $C_{min}$  curves differed significantly between groups. Compared with the youngest children (Group I), the oldest (Group III) had a 34% lower rituximab concentration at the fourth infusion, 45% less IgM depletion 1 month later, and received 20% less rituximab when the dose was recalculated as mg/kg. Serum IgM and rituximab levels were negatively correlated. Peak rituximab concentration did not correlate with adrenocorticotrophic hormone dose. These results indicate that the degree of serum IgM depletion is a useful indicator for rituximab dose equivalency in children of different ages. They also suggest that pediatric rituximab dosing should be based on body weight, not surface area. (ClinicalTrials.gov NCT00244361).

**Key Words:** anti-B-cell agent, dancing eyes, IgM depletion, Kinsbourne syndrome, neuroblastoma, paraneoplastic syndrome, rituximab pharmacokinetics

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Rituximab, an anti-CD20 monoclonal antibody, has found a niche as B-cell depletion therapy for a growing variety of autoimmune diseases and malignancies involving B-cells.<sup>1</sup> Many of these disorders affect children.<sup>2</sup> Paraneoplastic opsoclonus-myoclonus syndrome (OMS), characterized by B-cell expansion in cerebrospinal fluid (CSF)<sup>3,4</sup> and B-cell infiltration of neuroblastoma,<sup>5</sup> is 1 such example. There have been several reports of clinical efficacy in OMS.<sup>6–9</sup>

However, the dosing of children with rituximab is based on guidelines and practices derived from adults. It is customarily calculated based on body surface area and given in weekly doses of 375 mg/m<sup>2</sup>.<sup>1</sup> In addition, rituximab is frequently administered with conventional immunotherapy in autoimmune diseases, but the compatibilities and effects on rituximab levels have not been evaluated. Serum rituximab concentrations have not been studied systematically in children or in OMS.

This study involved the serial measurement of serum rituximab levels in the presence or absence of conventional immunotherapy with adrenocorticotrophic hormone (ACTH) and intravenous immunoglobulins (IVIg).<sup>10</sup> It was part of an open-label, prospective, phase I/II clinical trial, the clinical aspects of which were reported.<sup>11</sup> As we noted earlier that serum IgM is the only immunoglobulin to be reduced by rituximab,<sup>6</sup> we tested its relation to rituximab concentration as a possible immunologic correlate.

## PATIENTS AND METHODS

### Group Designation

The National Pediatric Myoclonus Center recruited children with OMS from the USA and abroad. Parents of 25 meeting inclusion and exclusion criteria signed informed consent for this Institutional Review Board approved study (SCRIHS protocol #04-112) that was conducted from 2004 to 2007 and registered with the Food and Drug Administration (IND no. 11,771) and ClinicalTrials.gov (NCT00244361).

Three groups were designated to capture discrete OMS subpopulations based on OMS duration (Table 1). Group I (n = 12) was acute and started on rituximab and conventional immunotherapy (IVIg and ACTH) together, the agents delivered sequentially. In Group II (n = 8), rituximab was adjunctive to ongoing conventional agents. Group III, chronic and off all conventional agents, received rituximab alone. Group III patients were older because their OMS duration was longer. Mean OMS duration was 0.3 ± 0.2 years in Group I, 1.1 ± 1.7 years in Group II, and 8.6 ± 4.9 years in Group III.

**TABLE 1.** Group Designation and Clinical Information

	Group I	Group II	Group III	P*
n	12	8	5	—
Age (y)	1.9 ± 0.52	3.1 ± 2.3	9.8 ± 5.1	< 0.0001 (I & II vs. III)
Range	(1.3-3)	(1.1-8.2)	(4.7-17)	—
Boys/girls	6/6	3/5	3/2	—
Etiology				
Tumor found	2	4	3	
No tumor found	10	4	2	
Tumor type				—
Neuroblastoma	1	3	3	
Ganglioneuroblastoma	1	1	0	
Tumor location				—
Thoracic	0	2	1	
Abdominal	0	2	2	
Pelvic	2	0	0	
Neuroblastoma stage				—
I	0	2	2	
II	1	1	1	
Mean baseline OMS score†	21.5 ± 6	14.5 ± 6	12.0 ± 8	0.011 (I vs. II & III)
Height (cm)	85.7 ± 4.4	96.6 ± 24.2	135.8 ± 30.4	0.0003 (I & II vs. III)
Weight (kg)	12.2 ± 2.0	18.8 ± 14.5	32.5 ± 19.9	0.015 (I vs. III)
Surface area (m <sup>2</sup> )	0.56 ± 0.06	0.72 ± 0.35	1.10 ± 0.44	0.0056 (I vs. III)
Rituximab dose (mg/m <sup>2</sup> )	375	375	375	—
Rituximab dose (mg/kg)	17.3 ± 1.1	16.3 ± 3.0	13.8 ± 2.4	0.016 (I vs. III)
ACTH dose at initial visit (IU/m <sup>2</sup> )	0	50 ± 29	0	—
ACTH dose at 6 months (IU/m <sup>2</sup> )	33 ± 17	37 ± 21	0	—

Data are means ± SD.

\*ANOVA with significant Tukey posthoc comparisons in parentheses.

†Total score on OMS evaluation scale. Each of 12 items was rated 0-3, and the summed score was designated as mild (0-12 points), moderate (13-24 points), or severe (25-36 points).

The overall onset age of OMS, which was  $1.6 \pm 0.8$  years, did not differ by group. A tumor was detected in 9 patients, but several lines of evidence suggest that neuroblastoma is the uniform causation of pediatric OMS within the neuroblastoma age range.<sup>4</sup> Some authors, however, designate the other cases as “viral” (see Ref.10). The motor severity of patients, as scored by a blinded observer from videotapes (see legend of Table 1),<sup>6</sup> was highest in Group I (the most acute). Groups I and II were moderately severe; Group III was mild. As a whole, there were 8 mild, 12 moderate, and 5 severe cases.

### Rituximab

Rituximab (Rituxan), supplied by Genentech, Inc. (South San Francisco, CA)/Biogen IDEC (San Diego, CA), was infused IV once weekly for 4 consecutive weeks at a dose of 375 mg/m<sup>2</sup>. Lot numbers were M26743, L89389, M37145, M85663, M67835.

### ACTH

In Group I, a 52-week protocol for ACTH<sub>1-39</sub> (Acthar Gel, 80 IU/mL) (Questcor Pharmaceuticals, Union City, CA) was initiated at 75 IU/m<sup>2</sup> IM twice a day for 1 week, daily for 1 week, on alternate days for 2 weeks, then slowly tapering to 40 IU/m<sup>2</sup> over 2 months and more gradually over the next 7 months, until a final dose of 5 IU/m<sup>2</sup> was reached.<sup>12</sup> Prophylactic treatments were administered as described earlier.<sup>6</sup>

### IVIg

In Group I, IVIg was induced at 2 g/kg (divided over 2 d) and maintained at 1 g/kg once a month with acetaminophen and diphenhydramine pretreatments. In

Group II, patients were on once monthly maintenance. Various IVIg brands were used, depending on availability.

### Rituximab Assay

Blood was drawn 15 minutes before rituximab infusion, then 15 minutes after completion of the approximately 6-hour infusion. Serum was aliquoted and frozen. Serum rituximab levels were quantified by a validated proprietary enzyme-linked immunosorbent assay of Genentech, Inc. through Covance Laboratories, Inc. (Chantilly, VA). The assay uses proprietary polyclonal goat antirrituximab antibodies developed at Genentech as the capture reagent, and goat antibody to mouse IgG F(ab')<sub>2</sub> conjugated to horseradish peroxidase (Jackson Immuno Research Laboratories, Inc., West Grove, PA) as the detection reagent. The assay has a sensitivity of 500 ng/mL. Assays were done on batches of serum that were stored at -80°C and shipped on dry ice. Serum rituximab concentrations in this study compare favorably with those reported by others (µg/mL) using enzyme-linked immunosorbent assays based on polyclonal capture of rituximab.<sup>13,14</sup>

### IgM Assay

Serum IgM was quantitated using the Tina-quant assay in the clinical laboratory (Memorial Medical Center, Springfield, IL).

### Statistical Procedures

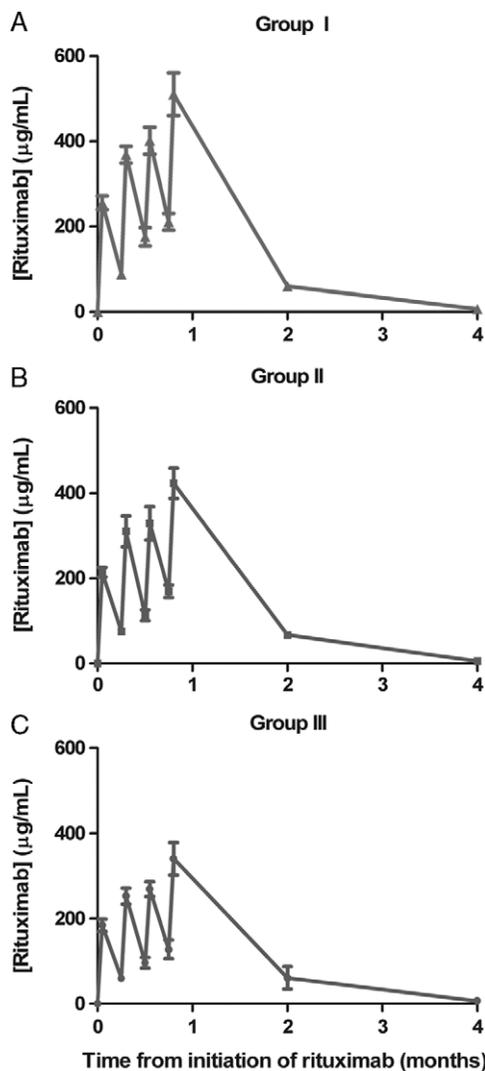
Serum rituximab concentration data were analyzed by 2-factor mixed models analysis of variance (ANOVA), in which 1 factor was repeated measurements, another factor was independent groups, and the interaction of both factors was tested. Each of the 4 postinfusion data points were

1 compared by 1-way repeated measures on the peaks, and a  
 2 second 1-way repeated measures on the troughs. A doubly  
 3 repeated measures design was used to look for an interaction  
 4 between the slopes. Between groups, serum rituximab  
 5 concentrations were compared by 1-way ANOVA with the  
 6 Tukey post hoc test. For evaluating the relation of treatment  
 7 group and patient age, analysis of covariance (ANCOVA)  
 8 was considered. However, ANOVA with the addition of the  
 9 covariate age was significant ( $P = 0.0048$ ), which would  
 10 make the results of ANCOVA unreliable. Clinical response  
 11 and serum IgM concentrations were also analyzed by  
 12 ANOVA with repeated measures.

## RESULTS

### Rituximab Concentration

13 After each infusion (Fig. 1), the serum rituximab  
 14 concentration increased incrementally above the level after  
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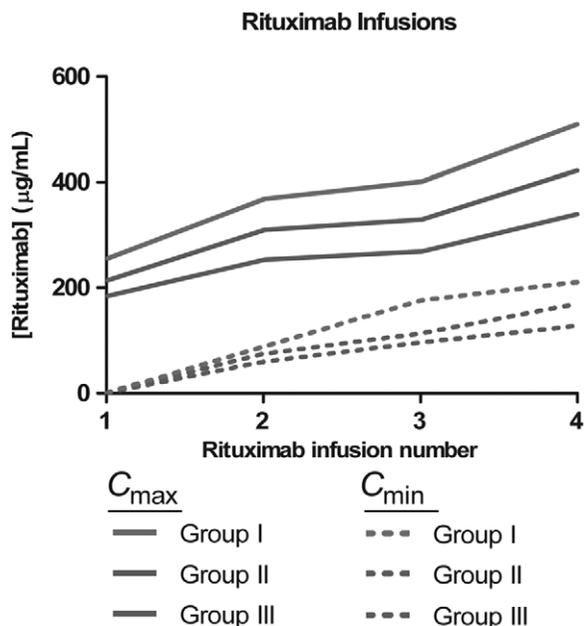
**FIGURE 1.** Serum rituximab concentrations by group. Data are means  $\pm$  SEM. Although all patients received the same dose per body surface area, rituximab levels differed by group, as could be accounted for by dose recalculation according to body weight instead.

the earlier infusion, reaching the highest value by the  
 64 fourth. In all groups combined (data not shown), the mean  
 65 peak concentration increased sequentially to  $232 \pm 12$ ,  
 66  $326 \pm 18$ ,  $350 \pm 22$ , and  $447 \pm 29$   $\mu\text{g/mL}$ . Each postinfusion  
 67 concentration dropped, but not as low as the prior  
 68 preinfusion level. As peaks went up over time, so did  
 69 troughs. Serum rituximab concentrations were highest at  
 70 every infusion time point in Group I, and higher in Group  
 71 II than in Group III. Interindividual variability can be  
 72 appreciated from the range of peak levels in Group I, for  
 73 example: first infusion, 136 to 372  $\mu\text{g/mL}$ ; second infusion  
 74 305 to 482  $\mu\text{g/mL}$ ; third infusion 280 to 591  $\mu\text{g/mL}$ ; fourth  
 75 infusion 281 to 791  $\mu\text{g/mL}$ .

One month after the last infusion, the serum rituximab  
 76 concentration had dropped by 85% regardless of the group  
 77 to  $63 \pm 7$   $\mu\text{g/mL}$  in the combined data. This concentration  
 78 was similar to the trough level preceding infusion 2. Three  
 79 months later, rituximab was nearly undetectable. At 6  
 80 months after the last rituximab infusion, 4 children had  
 81 trace levels (0.56 to 3.4  $\mu\text{g/mL}$ ); none were in Group I.

Statistical analyses were done separately on postinfusion  
 82 peaks ( $C_{\text{max}}$ ) and preinfusion troughs ( $C_{\text{min}}$ ) values  
 83 (Fig. 2). The increase in  $C_{\text{max}}$  values was statistically  
 84 significant in the Group I ( $P < 0.0001$ ), Group II  
 85 ( $P = 0.0046$ ), and Group III ( $P = 0.0035$ ). Peaks 2 and 3  
 86 did not differ significantly from each other in any of the  
 87 groups, but peaks 3 and 4 were significantly higher than peak  
 88 1 in all groups. Within groups, all  $C_{\text{min}}$  serum rituximab  
 89 concentrations differed significantly from each other ( $P$   
 90  $\leq 0.0002$ ), but the baseline zero value had to be excluded  
 91 from the analysis because it lacked statistical variability.

Between-group comparisons revealed the  $C_{\text{max}}$  was  
 92 significantly higher in Group I than Group III for infusion  
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**FIGURE 2.** Serum rituximab concentration-versus-time profile per infusion with mean infusion  $C_{\text{max}}$  (peak) and  $C_{\text{min}}$  (trough) concentrations for each group. Data are means. The relation of  $C_{\text{max}}$  (solid lines) and  $C_{\text{min}}$  (dotted lines) concentrations is shown. For each group, all peaks (except 2 vs. 3) and all troughs differed significantly from each other.

**TABLE 2.** Serial Quantitative Serum IgM Concentrations (mg/dL) Per Treatment Group

	Baseline	Months After Final Rituximab			
		1	3	6	12
Group I	94 ± 10	29 ± 4* (−69%)	14 ± 3* (−85%)	32 ± 8* (−66%)	52 ± 6* (−45%)
Group II	98 ± 11	33 ± 5* (−66%)	19 ± 3* (−81%)	32 ± 7* (−67%)	45 ± 16* (−54%)
Group III	109 ± 21	83 ± 23* (−24%)	71 ± 25* (−35%)	72 ± 15* (−34%)	67 ± 35* (−39%)

Means ± SEM.

Laboratory reference ranges for serum IgM were 47–200 mg/dL.

% depletion is given in parentheses.

\*Statistically significant by the mixed procedure repeated measures,  $P < 0.0001$  for each treatment group.

1 ( $P = 0.039$ , ANOVA), but not for infusion 2 ( $P = 0.055$ ), 3 ( $P = 0.055$ ), or 4. However,  $C_{\min}$  values differed between those groups for infusion 2 ( $P = 0.0006$ ), 3 ( $P = 0.021$ ), and 4 ( $P = 0.039$ ).

When the rituximab dose was recalculated based on body weight (mg/kg) instead of surface area (Table 1), there was a significant difference in doses between groups ( $P = 0.016$ , ANOVA). In Group III, the dose expressed as mg/kg was 20% less than in Group I (Tukey,  $P < 0.05$ ); its serum rituximab concentration at the fourth rituximab infusion was 34% less. Dosage differences paralleled the serum rituximab concentration differences.

To explore whether ACTH affected serum rituximab levels in Group I, 3 children who received rituximab a week before ACTH were compared with 9 others who started ACTH first. Comparisons were made in the peak and trough rituximab concentration after the first rituximab infusion. The peaks (mean ± SEM) did not differ statistically between subgroups:  $275 \pm 22 \mu\text{g/mL}$  versus  $249 \pm 20 \mu\text{g/mL}$ , respectively. The troughs also were not significantly different:  $92 \pm 5$  versus  $87 \pm 4$ , respectively.

### Clinical Response

Only Group I and II patients responded clinically to treatment. In Group I, mean total score declined significantly at all time points ( $P < 0.0001$ ): 42% at 1 month, 54% at 3 months, 72% at 6 months, and 78% at 1 year. The net decrease in total score was  $16 \pm 2$  scale points, more than a full severity category. In Group II, total score fell by 45% at 1 month ( $P = 0.001$ ), 53% at 3 months, 56% at 6 months, and 66% at 12 months (all  $P < 0.0001$ ), a net improvement of  $11 \pm 3$  scale points. At the 6 month evaluation, 13 of 23 patients had improved by more than or equal to 6 scale points, 8 by more than or equal to 12 points, 5 by more than or equal to 18 points, and 1 by more than or equal to 24 points. All but 4 of these individuals were in Group I.

ACTH dose was tapered in Group I to  $17 \pm 3 \text{ IU/m}^2/\text{d}$  by 6 months, and  $9 \pm 2$  (range 0 to 16) by 1 year, a total decrease of 92% ( $P = 0.0013$ ). In Group II, the respective doses were  $38 \pm 3$  (initial),  $19 \pm 4$  at 6 months, and  $11 \pm 4$  at 1 year (range 0 to 22), a 60% reduction ( $P = 0.0007$ ). By the end of the study, 3 of 11 patients were off ACTH in Group I and 2 of 4 in Group II, which was one-third of all ACTH-treated children.

In Group III, no patient improved even by 6 scale points at 6 months and only 1 did at 12 months. The total scores of the most severe case were 24, 20, 20, and 23 at each respective infusion; 23 at 1 month; 20 at 3 months; 19 at 6 months.

### IgM Response

Serum IgM concentration was significantly lower at 1 month in each treatment group (Table 2), but least of all in Group III. The IgM level did not differ significantly between groups, but there was 45% less depletion in Group III. Group I and II IgM concentrations did not differ significantly between each other. The start of IgM recovery was apparent at 3 months, continued through the study period, but was not complete at 12 months (still 39 to 54% depletion). However, differences between groups at 12 months were no longer statistically significant.

### Correlations

For each group separately, Pearson correlations were done between patient age and rituximab dose in mg/kg. In Group II ( $r = -0.90$ ,  $P = 0.0023$ ) and Group III ( $r = -0.99$ ,  $P = 0.0002$ ), age and dose were highly correlated. The relationship was less constant in Group I ( $r = -0.60$ ,  $P = 0.40$ ).

There was a trend toward correlation of peak serum rituximab concentration with rituximab dose expressed as mg/kg in the combined groups, but not in individual groups (Table 3). It correlated with patient age, height, weight, and surface area. There was no correlation with ACTH dose.

### DISCUSSION

This study shows similarities and differences in serum rituximab levels of children and adults after 4-dose rituximab treatments.<sup>15</sup> In both, the pattern of peaks and troughs<sup>16</sup> is similar, corresponding to a 2-compartment model,<sup>17</sup> although data in children are limited.<sup>18</sup> In adults, the elimination half-life ( $T_{1/2 \text{ rm}}$ ) was 3 weeks, and total systemic clearance ranged from 3.1 to 11.9 mL/h/m<sup>2</sup>.<sup>15</sup> We

**TABLE 3.** Correlations With Peak Serum Rituximab Concentration ( $C_{\max}$ )\*

	<i>r</i>	<i>P</i>
Cumulative rituximab dose (mg/kg)	0.42	0.047†
Patient age	−0.50	0.011†
Body weight	−0.49	0.013†
Height	−0.42	0.038†
Body surface area	−0.45	0.024†
Serum IgM concentration at 3 months	−0.53	0.015†
% Blood B-cells at 1 months	0.23	0.27
% Blood B-cell at 6 months	0.02	0.92
% CSF B-cells at 6 months	0.15	0.48
ACTH dose	0.24	0.33

\*Combined groups.

†Statistically significant Pearson correlations.

1 have insufficient early data for mathematical modeling as a  
 3 population pharmacokinetic study to look at body compo-  
 5 sition and volume of distribution as potential factors.<sup>17</sup>  
 7 When the study was designed, there was no information to  
 9 suggest that age and rituximab dose would be confounded;  
 11 it was an early phase trial to look at different OMS  
 13 subpopulations. Now that the unexpected age factor has  
 been observed, however, it has to be reconciled. We  
 since located a poster abstract from a small pharmaco-  
 kinetic study in pediatric chronic immune thrombocytope-  
 nic purpura, in which the rituximab half-time was  
 inexplicably longer for younger patients than for older  
 ones at week 4.<sup>18</sup>

As body-weight-based rituximab dosing gave a better  
 indication of serum rituximab and IgM concentrations, it  
 seems reasonable that pediatric rituximab dose be based on  
 body weight, such as IVIg dosing, not body surface area.  
 Chemotherapy for children weighing 10 to 15 kg is usually  
 calculated according to body weight to prevent overdosing,  
 so this approach would be consistent. We do not think the  
 younger children in our study received too much rituximab,  
 rather, the older children were probably underdosed by the  
 surface area-based calculation: they had less IgM depletion  
 and did not respond clinically. A pediatric dose of 17 mg/kg  
 per infusion is supported by our data, which would result in  
 a total dose of about 70 mg/kg. This higher dose would  
 seem to be well tolerated, as we have safely delivered  
 750 mg/m<sup>2</sup> as a single infusion or given 6 standard dose  
 infusions (unpublished observations). In children, 6 weekly  
 rituximab doses of 375 mg/m<sup>2</sup> have been used to treat  
 refractory autoimmune hemolytic anemia.<sup>19</sup>

There are also other reasons for rethinking the body  
 surface area-based rituximab dosing. Body surface area was  
 introduced to predict a safe starting dose in phase I  
 oncology trials from preclinical animal studies, but its use  
 in oncology became widespread.<sup>20</sup> In the case of rituximab,  
 there are not the serum concentration studies to support  
 it.<sup>14</sup> No rationale for body surface area-based dosing of  
 rituximab was found in rheumatoid arthritis.<sup>17</sup>

A less likely explanation for group differences in  
 rituximab levels is that conventional immunotherapy  
 altered rituximab kinetics. The highest serum rituximab  
 concentrations were in Group I (high-dose ACTH),  
 intermediate levels in Group II (lower-dose ACTH), and  
 lowest in Group III (no ACTH). However, ACTH dose was  
 inextricably linked to OMS acuteness in this study and,  
 hence, age and body weight. In addition, peak and trough  
 rituximab concentrations after the first infusion were not  
 significantly higher in patients who were started on ACTH  
 before rituximab, and rituximab concentration did not  
 correlate with ACTH dose. IVIg dose and dose frequency  
 were a constant between Group I and II. If IVIg saturated  
 FC receptors, theoretically, it could interfere with ritux-  
 imab binding or B-cell eradication, because the FC part of  
 rituximab and receptors for the FC portion of IgG are  
 needed to accomplish phagocytosis and antibody-depend-  
 ent cellular cytotoxicity by immune cells.<sup>1</sup> In this study,  
 however, B-cell killing was similar among groups, even with  
 rituximab monotherapy.<sup>21</sup>

Group III alone did not respond clinically, perhaps  
 owing to lower rituximab concentrations. However, OMS  
 was chronic in those patients and had persisted despite  
 earlier conventional immunotherapy, so it may have been  
 untreatable. Only a dose-response study could resolve this  
 issue. Blood B-cell levels were not indicative of rituximab

concentration at the dose used.<sup>13</sup> A dose-ranging study that  
 included subtherapeutic doses of rituximab would be  
 necessary to establish a dose-effect on B-cell depletion.  
 However serum IgM depletion did differ significantly  
 between groups, indicating utility as a surrogate marker  
 for rituximab dose comparisons. Although it has been  
 shown by us and others that IgM is the only serum  
 immunoglobulin to change appreciably in concentration  
 after rituximab therapy, little clinical use has been made of  
 this observation.

Rituximab levels are not easily obtained, because  
 detection has depended on proprietary sources of antiritux-  
 imab antibodies, resulting in in-house assays. Only free  
 rituximab concentrations are measureable currently, whereas  
 most of the antibody is bound. Rituximab also may be  
 released from coated unlysed B-cells or lymph nodes.<sup>15</sup>  
 Higher serum concentrations could reflect more unbound  
 rituximab, but the lower associated IgM levels suggest that  
 was not the case in our study. Low levels have been detected  
 in the CSF of a few adults,<sup>22</sup> but CSF rituximab concentra-  
 tion has not been studied systematically.

Although this study uncovered some unexpected  
 issues, the cause of which lay outside the study design, it  
 serves to inform future trials in this patient group so the  
 same problems are not encountered. Larger studies of more  
 homogeneous patient populations will be needed to confirm  
 these results.

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